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Ú.S. Department of Justice

Washington, DC 20530

Supplemental Statement

Pursuant to the Foreign Agents Registration Act of 1938, as amended

| | For Six Month P | eriod Ending <u>Ju</u> | (Insert date) | |
|---|-----------------------------------|------------------------|---|----------------------------------|
| | 1- | REGISTRA | • | |
| 1. (a) Name of Registrant | | (b) Registra | tion No. | |
| Pillsbury Winthrop Shaw Pittn | nan LLP | 5198 | | |
| (c) Business Address(es) of Regist Four Embarcadero Center 22nd Floor San Francisco, CA 94111-2228 | | | | |
| | | 1 | | |
| Has there been a change in the info (a) If an individual: | Yes 🗌 | No □ | nection with the follow | ing? |
| (2) Citizenship (3) Occupation | Yes □ Yes □ | No □ No □ | | |
| (b) If an organization: (1) Name (2) Ownership or control (3) Branch offices | Yes □ Yes ⋈ Yes ⋈ | No ⊠ No □ No □ | · | |
| (c) Explain fully all changes, if a See Exhibits 1 and 2 | y, indicated in Items | (a) and (b) abo | ve. | |
| Pilisbury closed the following Abu Dhabi office closed May | | ie reporting pe | riod. | |
| | | | | |
| IF THE REGISTRA | NT IS AN INDIVI | DUAL, OMIT | RESPONSE TO ITE | MS 3, 4, AND 5(a). |
| 3. If you have previously filed Exhibit Yes □ No ☒ | C ¹ , state whether an | y changes there | in have occurred durin | g this 6 month reporting period. |
| If yes, have you filed an amendmen | t to the Exhibit C? | Yes 🗌 | No 🗆 | |
| If no, please attach the required am | endment. | | | |
| | | | | |
| | | | • | |

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

(PAGE 2

| Yes 🛛 | No □ | • | |
|--|--|---|---------------------------------------|
| 7.C C ! 1 .4 . C | - · · · · · · · · · · · · · · · · · · · | • | •• |
| If yes, furnish the follow | ving information: | Decision. | Data Competing Ended |
| Name | | Position | Date Connection Ended |
| Please see Exhibit 1 | • , | • | |
| • | | | • |
| o) Have any persons become Yes 🗵 | ne partners, officers, directors or si | nilar officials during this 6 m | onth reporting period? |
| If yes, furnish the follow | ving information: | | · |
| Name | Residence Address | Citizenship | Position Date Assumed |
| Please.see Exhibit 2 | • | | |
| | • | | • |
| | | | |
|) Has any person named in | Item 4(b) rendered services direc | ly in furtherance of the interes | ests of any foreign principal? |
| Yes □ | No 🗵 | | • |
| If yes, identify each suc | h person and describe the service r | endered. | |
| | | • | |
| | • | • | · |
| Name | Residence Address | Citizenship | Position Date Assumed |
| | | | , |
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| | | | |
| · . | | A | erminated their employment or |
| | individuals, who have filed a short strant during this 6 month reporting | | No 🗵 |
| | strant during this 6 month reporting | | <u> </u> |
| connection with the regi | strant during this 6 month reporting information: | | <u> </u> |
| connection with the regi | strant during this 6 month reporting information: | g period? Yes 🗌 | No 🖾 |
| connection with the regi | strant during this 6 month reporting information: | g period? Yes 🗌 | No 🖾 |
| connection with the regi If yes, furnish the follow Name | strant during this 6 month reporting information: Po | g period? Yes osition or Connection | No ⊠ Date Terminated |
| connection with the regi If yes, furnish the follow Name | strant during this 6 month reporting information: Population Popul | g period? Yes osition or Connection | No 🖾 |
| connection with the regi If yes, furnish the follow Name) Have any employees or i | strant during this 6 month reporting ring information: Position of the properties o | g period? Yes osition or Connection form registration statement, to | No ⊠ Date Terminated |
| connection with the regi If yes, furnish the follow Name Have any employees or i principal during this 6 m | strant during this 6 month reporting ring information: Position of the properties o | g period? Yes osition or Connection form registration statement, to | No Date Terminated Date Terminated |
| connection with the reging of the following of the following of the following the foll | strant during this 6 month reporting information: Polynomial of the properties of t | g period? Yes position or Connection form registration statement, to No No | No Date Terminated Date Terminated |
| oconnection with the reging of yes, furnish the follow Name Have any employees or in principal during this 6 m. If yes, furnish the follow | strant during this 6 month reporting information: Polynomial of the properties of t | g period? Yes position or Connection form registration statement, to No No | No Date Terminated Date Terminated |
| connection with the reging of yes, furnish the follow Name Have any employees or in principal during this 6 m. If yes, furnish the follows. | strant during this 6 month reporting information: Polynomial of the properties of t | g period? Yes position or Connection form registration statement, to No No | No Date Terminated Date Terminated |

II - FOREIGN PRINCIPAL

| | owing information: | | • | | | No 🗵 |
|--|--|---|-----------------------------------|-----------------|--------------------|-----------------|
| Foreign Principal | | | | Dat | e of Termination | |
| | | | | | • | |
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| ** | | 1(2)2 1 4 | | . 10 | . | |
| Have you acquired an If yes, furnish th follo | | n(e), anius tiis e | month reporting p | enod? | Yes ⊠ | No 🗆 |
| Name and Address of | Foreign Principal(s) | | | D | Pate Acquired | |
| Ministry of Energy, in Arabia | dustry, and Mineral R | esources of the Kir | ngdom of Saudi | 2/20/2018 | . • | |
| Embassy of Qatar | | | | 3/23/2018 | | |
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| reporting period. Secretaria de Économ Embassy of Governm | amed in Items 7 and 8, nia (Secretariat of Econ nent of the Republic of stry of Financial Servic | nomý) f Korea | | m you contin | ued to represent o | luring the 6 mo |
| reporting period. Secretaria de Économ Embassy of Governm | nia (Secretariat of Eco ent of the Republic of | nomý) f Korea | | m you contin | ued to represent o | luring the 6 mo |
| Secretaria de Econom Embassy of Governm | nia (Secretariat of Eco ent of the Republic of | nomý) f Korea | | m you contin | ued to represent o | luring the 6 mo |
| reporting period. Secretaria de Économ Embassy of Governm | nia (Secretariat of Eco ent of the Republic of | nomý) f Korea | | m you contin | ued to represent o | luring the 6 mo |
| reporting period. Secretaria de Éconon Embassy of Governm Cayman Islands Minis | nia (Secretariat of Eco nent of the Republic of stry of Financial Servic | nomy) f Korea res, Commerce & E | nvironment | | | luring the 6 mo |
| reporting period. Secretaria de Econom Embassy of Governm Cayman Islands Minis (a) Have you filed ex Exhibit A ³ | nia (Secretariat of Eco nent of the Republic of stry of Financial Servic | nomy) f Korea res, Commerce & E | nvironment | | | luring the 6 mc |
| reporting period. Secretaria de Econom Embassy of Governm Cayman Islands Minis (a) Have you filed ex | nia (Secretariat of Econent of the Republic of stry of Financial Services this control of the newly actions the newly ac | nomy) f Korea es, Commerce & E | nvironment | | | luring the 6 mo |
| reporting period. Secretaria de Econom Embassy of Governm Cayman Islands Minis (a) Have you filed ex Exhibit A ³ Exhibit B ⁴ | nia (Secretariat of Econent of the Republic of stry of Financial Service thinks for the newly activities for the newly activities [Service 1] | nomy) f Korea es, Commerce & E equired foreign prin | nvironment | | | luring the 6 mo |
| reporting period. Secretaria de Econom Embassy of Governm Cayman Islands Minis (a) Have you filed ex Exhibit A ³ Exhibit B ⁴ If no, please attach | nia (Secretariat of Economic (Secretariat of Economic) of the Republic of Stry of Financial Service (Seconomic) of Financial Seconomic) of Financial Seconomic) of Financial Seconomic (Seconomic) of Financial Seconomic) of Financial Seconomic (Seconomic) of Financial Seconomic) of Financial Seconomic (Seconomic) of Financi | nomy) f Korea res, Commerce & E required foreign prin No No No | nvironment | isted in Item 8 | ? | luring the 6 mc |
| reporting period. Secretaria de Econom Embassy of Governm Cayman Islands Minis (a) Have you filed ex Exhibit A ³ Exhibit B ⁴ If no, please attact | nia (Secretariat of Economic of the Republic of Stry of Financial Service chibits for the newly accepted by the Service of the required exhibit. | nomy) f Korea es, Commerce & E equired foreign prin No No tibits A and B prev | nvironment | isted in Item 8 | ? | luring the 6 mo |
| reporting period. Secretaria de Économ Embassy of Governm Cayman Islands Minis (a) Have you filed ex Exhibit A ³ Exhibit B ⁴ If no, please attack (b) Have there been a represented during | thia (Secretariat of Economic of the Republic of Stry of Financial Service Chibits for the newly accepted by the required exhibit. | nomy) f Korea fes, Commerce & E quired foreign prin No No ibits A and B prev | nvironment cipal(s), if any, l | isted in Item 8 | ? | luring the 6 mc |

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

(See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

(PAGE 4)

| TTY _ A | CTIN | ATTES |
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| 11. | During this 6 month named in Items 7, 8, | reporting period, or 9 of this stater | have you engaged | l in any activitie Yes ⊠ | s for or rendered a | uny services to any f | oreign principal |
|-----|---|--|------------------------------|-----------------------------|---------------------|-----------------------|--------------------------------|
| • | If yes, identify each i | foreign principal | and describe in fu | ll detail your act | ivities and service | s: | |
| | Please see Exhibit 3 | | | , | | | |
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| 12. | During this 6 month | reporting period, | have you on beha | lf of any foreign | principal engaged | in political activity | ⁵ as defined below? |
| | If yes, identify each s the relations, interest arranged, sponsored names of speakers an | s and policies sou or delivered spec | ght to be influence | ed and the mean | s employed to acl | nieve this purpose. | If the registrant |
| | Please see Exhibit 4 | | | | | , | |
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| 13. | In addition to the abo foreign principal(s)? | ve described acti Yes 🖸 | vities, if any, have No ⊠ | you engaged in | activity on your | own behalf which b | enefits your |
| | If yes, describe fully. | • | | | • | • | |
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^{5&}quot;Political activity," as defined in Section 1(0) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

| 4. (a) | RECEIPTS-MONIES During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes No | | | | | | |
|--------|---|--|---------------------------------|---------------------------|---------------------|--|--|
| | Îf no, explain why. | | | | | | |
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| | If yes, set forth below | w in the required detail and separately for ex | ach foreign principal a | n account of su | ch monies.6 | | |
| | Date | From Whom | Purpose | | Amount | | |
| | | Please see Exhibit 5 | | • | e e | | |
| | • | riedse see animotes | | | • | | |
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| | | | • | | Total | | |
| (b) | | PRAISING CAMPAIGN reporting period, have you received, as part | aif a fire deiniaine e come | alani ⁷ any ma | an babale ac an | | |
| | | ted in Items 7, 8, or 9 of this statement? | vira iuniuraising camp Yes □ | No ⊠ | ies ou senan or any | | |
| | - | an Exhibit D ⁸ to your registration? | Yes □ | No □ | | | |
| | | | .63 💆 | .,,, | | | |
| | If yes, indicate the da | te the Exhibit D was filed. Date | | | | | |
| (c) | | reporting period, have you received any this or 9 of this statement, or from any other so No 🗵 | | | | | |
| | If yes, furnish the fol | lowing information: | • | | | | |
| | | | | | | | |

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(c)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

^{9.} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kirkbacks." and the like

| 9 of this statement? (2) transmitted monies to If no, explain in full detail | monies in connection with actives No no any such foreign principal? why there were no disbursement of the required detail and separately to each foreign principal. | Yes □ ts made on bel | No ⊠ half of any fore | ign principal. | |
|--|--|-------------------------|--------------------------|----------------|--------|
| Date | To Whom | | Purpose | • | Amount |
| Pleas | e see Exhibit 6 | | | | • |
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Total

(PAGE 7)

| | | Yes □ No 🗵 | | | ement? |
|------|--|--|---|--|--|
| | If yes, furnish th | ne following information: | | | |
| | Date | Recipient | Foreign Principal | Thing of Value | Purpose |
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| | | INTERNATIONAL COST | | | |
| (c). | During this 6 m other person, m | ade any contributions of m | e you from your own funds oney or other things of val- election, convention, or cau | s and on your own behalf eith ue!! in connection with an el ucus held to select candidate: | lection to any political |
| (c). | During this 6 m other person, m office, or in con | onth reporting period, have ade any contributions of m nection with any primary e | e you from your own funds oney or other things of val- election, convention, or cau | ue11 in connection with an e | lection to any political |
| (c). | During this 6 m other person, m office, or in con | onth reporting period, have ade any contributions of mection with any primary e | e you from your own funds oney or other things of val election, convention, or cau | ue11 in connection with an e | lection to any political |
| (c). | During this 6 m other person, ma office, or in con | onth reporting period, have ade any contributions of monection with any primary early No | e you from your own funds oney or other things of val- election, convention, or cau of Value Political egistrant Greg | tue!! in connection with an elucus held to select candidate: | lection to any political s for political office? |

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

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V-INFORMATIONAL MATERIALS

| 16. (a) During this 6 month repo | orting period, did you prepare No 🗵 | e, disseminate or cause to | be disseminated a | ny informational materials? |
|--|--|---------------------------------------|------------------------|-----------------------------|
| If Yes, go to Item 17. | , NO E | | | |
| (b) If you answered No to Ite | -m 16(a) do vou disseminate | any material in connecti | ion with vour regist | ration? |
| Yes \Box | No ⊠ | any material in connecti | ioit milit komi regisi | i ajnoit i |
| If Yes, please forward the ma | aterials disseminated during | the six month period to th | he Registration Uni | t for review. |
| 17. Identify each such foreign pr | incipal. | | | |
| N/A, | | • . | | |
| | | • | | ' |
| • | | | | • |
| | | | | |
| 18. During this 6 month reportin | a mariad has any faraian nei | noinal actablished a buda | et or allocated a co | oified sum of money to |
| finance your activities in pre | | | Yes 🗀 | No ⊠ |
| If yes, identify each such for | · · · | | | |
| | sign principal, specify amou | iri, and moreate for what | · · | |
| • | | | • | |
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| During this 6 month reporting materials include the use of a | | in preparing, dissemination | ng or causing the di | ssemination of information |
| ☐ Radio or TV broadcasts | ☐ Magazine or newspaper | ☐ Motion picture films | i □ Let | ters or telegrams |
| ☐ Advertising campaigns | Press releases | ☐ Pamphlets or other p | oublications 🗆 Lec | tures or speeches |
| Other (specify) | <u> </u> | | | |
| Electronic Communications | | | · | |
| ☐ Email | | | | |
| ☐ Website URL(s): | · • | | | |
| ☐ Social media websites URL(s) | | | | |
| ☐ Other (specify) | | | | |
| | | <u>.</u> | | |
| During this 6 month reporting the following groups: | g period, did you disseminat | e or cause to be dissemin | ated informational | materials among any of |
| ☐ Public officials | ☐ Newsp | papers | ☐ Librai | ries |
| ☐ Legislators | ☐ Editors | | | tional institutions |
| Government agencies | | groups or associations | | nality groups |
| | | | | |
| | | · · · · · · · · · · · · · · · · · · · | | |
| 21. What language was used in the | ne informational materials: | | | |
| ☐ English | □ Oth | er (specify) | · | |
| 22. Did you file with the Registra | ation Unit, U.S. Department | of Justice a copy of each | | |
| disseminated or caused to be | disseminated during this 6 n | nonth reporting period? | Yes 🗆 | No □ |
| 23. Did you label each item of su | ich informational materials v | vith the statement require | d by Section 4(b) o | f the Act? |
| Yes 🗌 No 🗍 | | | | |

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

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| VI | ÷. | FXFC | IITION |
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In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

| (Date of signature) | | (Print or type name under each signature or provide electronic signature | | | |
|---------------------|---|--|-----------------------|--|--|
| August 30, 2018 | | <u> </u> | /s/ Stephan E. Becker | | |
| | , | | | | |
| | , | _ | • | | |
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¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Exhibit 1

Question 2(b) (2): "Has there been a change in the information previously furnished in connection with the [ownership or control of the organization?]"

Question 4(a): "Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?"

Departure of partners at Pillsbury Winthrop Shaw Pittman LLP from February 1, 2018 through July 31, 2018:

| Name | Primary Address - Full with Country 2 - | Citizenship Countries | Termination Date |
|---------------------|--|--------------------------|---------------------|
| John Keitt Häne | 7503 Clarendon Road Bethesda, MD 20814 United States of America | United States of America | 02/02/18 |
| Daniel M. Richards | 21 Sterling Place Apt 3R New York, NY 11217 United States of America | United States of America | 02/15/18 |
| Nicolai J. Sarad | 434 West 23rd Street Apt D New York, NY 10011 United States of America | United States of America | 03/15/18 |
| Thomas G. Allen | 3722 Ingomar Street NW Washington, DC 20015 United States of America | United States of America | 04/06/18 |
| Ahmad J. Änani | Street 12 Compound 2 Villa 1 West Bay Lagoon Doha Qatar | Jordan | 04/07/18 |
| James Stephen Lloyd | 5443 Valkeith Drive Houston, TX 77096 United States of America | United States of America | 04/20/18 |
| Brian Morris Wong | 2715 Woolsey Street Berkeley, CA 94705 United States of America | United States of America | Ö5/04/18 |
| Geoffrey J. Greeves | 719 N Vermont Street Arlington, VA 22203 United States of America | United States of America | 06/15/18 |
| Sarah A. Good | 1750 10th Avenue San Francisco, CA 94122 United States of America | United States of America | 06/30/18 |

Exhibit 2

Question 2(b) (2): "Has there been a change in the information previously furnished in connection with the [ownership or control of the organization?]"

Question 4(b): "Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?"

New partners at Pillsbury Winthrop Shaw Pittman LLP from February 1, 2018 through July 31, 2018:

| Name Name | Primary Address Full With Country | Citizenship Countries | Hire Date 1 |
|--------------------------|---|--------------------------|-------------|
| Jonathan M. Ocker | 900 Minnesota St. #314 San Francisco, CA 94107 United States of America | United States of America | 04/02/18 |
| Carley Ann Roberts | 5391 Coyote Pass Rd Shingle Springs, CA 95682 United States of America | United States of America | 05/08/18 |
| Marc Alexander Simonetti | 47 Beachmont Terrace North Caldwell, NJ 07006 United States of America | United States of America | 05/08/18 |
| Christopher Kao | 2710 Easton Dr. Burlingame, CA 94010 United States of America | United States of America | 05/10/18 |
| David J. Tsai | 4114 20th Street San Francisco, CA 94114 United States of America | United States of America | 05/10/18 |
| Robert L. Sills | 520 East 86th Street Apt 11A New York, NY 10028 United States of America | United States of America | 05/18/18 |
| Michael E. Michetti | 333 E 91st Street Apt 27CD New York, NY 10128 United States of America | United States of America | 07/05/18 |
| Joel M. Simon | 48 East Ridge Road Ridgefield, CT 06877 United States of America | United States of America | 07/16/18 |

Exhibit 3

Question 11

A. Activity on behalf of Secretaria de Economia ("Economia") during the period February 1, 2018 to July 31, 2018:

Pillsbury provided legal services to Economia in conjunction with trade remedy matters, international dispute settlement cases, and Mexico's international trade policies and negotiations.

B. Activity on behalf of Embassy of the Government of the Republic of Korea ("ROK") during the period February 1, 2018 to July 31, 2018:

Pillsbury provided legal services to ROK in conjunction with responses to ROK's questions and requests regarding the new Agreement for Cooperation with the United States Concerning Peaceful Uses of Nuclear Energy, and multilateral/domestic trade-related developments.

C. Activity on behalf of *Embassy of Qatar* during the period February 1, 2018 to July 31, 2018.

Pillsbury advised the Embassy of Qatar on legal matters and assisted with communications and public affairs matters.

D. Activity on behalf of Cayman Islands Ministry of Financial Services, Commerce & Environment ("Cayman Islands") during the period February 1, 2018 to July 31, 2018:

Pillsbury provided legal and non-legal services to Cayman Islands in conjunction with financial services and tax policies.

E. Activity on behalf of Ministry of Energy, Industry, and Mineral Resources of the Kingdom of Saudi Arabia ("Saudi Arabia") during the period February 1, 2018 to July 31, 2018:

Pillsbury provided legal services to Saudi Arabia in connection with a potential bilateral agreement on cooperation with the United States concerning peaceful uses of nuclear energy under Section 123 of the Atomic Energy Act of 1954 and related legal matters concerning the development of a commercial nuclear program within Saudi Arabia by the King Abdullah City for Atomic and Renewable Energy.

Exhibit 4

Question 12

A. Political activity on behalf of the Embassy of the Government of the Republic of Korea during the period February 1, 2018 to July 31, 2018

There was no reportable political activity performed on behalf of the Embassy of the Government of the Republic of Korea during this reporting period.

B. Political activity on behalf of the Embassy of Qatar during the period February 1, 2018 to July 31, 2018

There was no reportable political activity performed on behalf of the Embassy of Qatar during this reporting period.

C. Political activity on behalf of the Cayman Islands Ministry of Financial Services, Commerce & Environment during the period February 1, 2018 to July 31, 2018

| Date | Contact | Subject 33 |
|-----------|--|---|
| 2/14/2018 | Meeting with C. Brown (Cong. Luetkemeyer). | De-risking hearing. |
| 3/20/2018 | Emails to A. Vogel, scheduler for Rep. Luetkemeyer, B. Marticorena, legislative assistant to Rep. Ellison, and J. Catto (Deputy Director, Office of Western Hemisphere). | Scheduling meetings for Cayman delegation. |
| 3/22/2018 | Email to J. Catto (Deputy Director, Office of Western Hemisphere) at Treasury Department. | Cayman delegation meeting. |
| 3/23/2018 | Emails sent to Rep. Luetkemeyer's staff (Ann Vogel - scheduler) and J. Catto (Deputy Director - Office of Western Hemisphere) at Treasury Department. | Cayman delegation meeting. |
| 3/27/2018 | Meet with K. Fuller (scheduler) with Rep. Meeks and L. Morrison (chief of staff) with Rep. Hastings. | Cayman delegation meeting. |

| Date | Contact | Subject |
|-----------|--|--|
| 4/5/2018 | Conference call with L. Morrisson (Cong. Hastings) and K. Fuller (Cong. Meeks). | Scheduling meetings for Cayman delegation. |
| 4/6/2018 | Meeting with T. Carnes (Cong. Hastings). | Scheduling meetings for Cayman delegation. |
| 4/6/2018 | Email A. Vogel (scheduler) in Rep. Luetkemeyer's office. | Scheduling meetings for Cayman delegation. |
| 4/9/2018 | Meeting with T. Carnes (Cong. Hastings) and K. Fuller (Cong. Meeks). | Scheduling meetings for Cayman delegation. |
| 4/10/2018 | Meeting with J. O'Hara (Senate Banking Committee). | Cayman Islands Regulatory Reforms. |
| 4/10/2018 | Meet with Brian Hook, the Senior Advisor to the Secretary of State, Robert Leventhal, Acting Director, International Narcotics & Law Enforcement Affairs ("INL") Bureau, Office of Anticrime Programs, and Andrew Weinschenk, Director, Office of Threat Finance Countermeasures, at State Department. | Cayman Islands – record of compliance with global standards. |

| Dia | Contact | Subject. 4 |
|-----------|---|---|
| 4/10/2018 | Meetings with Doug Poms (International Tax Counsel) and James Catto (Deputy Director, Western Hemisphere) at Treasury Department, Brian Hooks (Director of Policy Planning) and Drew Weinschenk (Director, Office of Threat Finance Countermeasures) at State Department, and John O'Hara (Chief Counsel for National Security) on Senate Banking Committee. | Cayman Islands financial regulatory regime, bank de-risking, and banking terminations. |
| 4/11/2018 | Meetings with C. Brown (Cong. Luetkemeyer), Cong. Clay, B. Angus (House Ways & Means Committee), L. Morrison (Cong. Hastings), T. Carnes (Cong. Hastings). | Cayman Islands regulatory reforms. |
| 4/11/2018 | Meetings with E. Oman (Senior Tax Counsel) and M. Prater (Chief Tax Counsel) on Senate Finance Committee; Ryan Abraham (Senior Tax Counsel) on Senate Finance Committee; Chris Brown (Deputy Chief of Staff) with Rep. Luetkemeyer; Rep. Lacy Clay; Barbara Angus, Chief Tax Counsel for House Ways and Means Committee; and Lale Morrison (Chief of Staff) and Tom Carnes (Legislative Director) with Rep. Hastings. | Cayman Islands financial regulatory regime, bank de-risking, and banking terminations. |
| 6/20/2018 | Phone call with Senator M. Rounds and A. Tharpe, Legislative Assistant. | Press related to Cayman Islands investments and the Stop Tax Haven Abuse Act. |
| 6/25/2018 | Meet with and email C. Brown (Deputy CoS to Rep. Luetkemeyer). | De-risking. |
| 7/9/2018 | Phone call with B. Manley, Chief of Staff (Cong. J. Denham). | Offshore taxation and schedule for Cayman Islands delegation. |

| Date | Control : | Subject |
|-----------|--|---|
| 7/10/2018 | Emails sent to A. Vogel (Scheduler, Rep. Luetkemeyer), C. McGinnis (Policy Director, Senate Banking Committee), and S. Blattner on Rep. Clarke's staff. | Scheduling meetings for Cayman Islands delegation. |
| 7/11/2018 | Email A. Vogel (Scheduler for Rep. Luetkemeyer). | Meeting logistics. |
| 7/12/2018 | Email A. Vogel (Luetkemeyer scheduler); meet with K. Mitchell in Rep. Clarke's office. | Meeting with Cayman delegation. |
| 7/13/2018 | Meet with R. Leventhal, Acting Director of INL Bureau and A. Weinschenk, Director Office of Threat Finance Countermeasures at State Department. | Cayman Islands compliance in meeting global standards and pioneering new fintech approaches. |
| 7/13/2018 | Emails to C. McGinnis (Policy Director - Senate Banking Committee); B. Roach (chief investigator, Senate Banking Committee), and K. Mitchell in Rep. Clarke's office. | Scheduling meetings with Cayman Islands delegation. |
| 7/16/2018 | Phone calls with P. Maxson, Chief of Staff for Senator M. McConnell, R. Leventhal, Acting Director of INL Bureau, and A. Weinschenk, Director, Office of Threat Finance Countermeasures. | Scheduling meetings with Cayman Islands delegation and Global WIN agenda. |
| 7/16/2018 | Meeting with B. Roach, Counsel and Chief Investigator with Senate Banking Committee; emails to E. Ash and K. Mitchell in Rep. Clarke's office. | Cayman Islands financial regulatory regime, bank de-risking, and banking terminations; meeting schedule. |

| Date | Conteict | Subject |
|-----------|--|---|
| 7/17/2018 | Meeting with Senator J. Cornyn, S. Siracuse (Sen. Cornyn), Cong. Blaine Luetkemeyer, and C. Brown (Cong. Leutkemeyer). | Regulatory reforms by Cayman Islands. |
| 7/17/2018 | Meetings with Rep. Luetkemeyer and Sen. Crapo. | Impact of bank derisking on Caribbean. |
| 7/18/2018 | Phone call with R. Leventhal at State Department (INL Bureau). | Meeting with Cayman Islands delegation. |

D. Political activity on behalf of the Secretaria de Economia (Secretary of Economy) during the period February 1, 2018 to July 31, 2018

There was no reportable political activity performed on behalf of the Secretaria de Economia (Secretary of Economy) during this reporting period.

E. Political activity on behalf of the Ministry of Energy, Industry, and Mineral Resources of the Kingdom of Saudi Arabia during the period February 1, 2018 to July 31, 2018

| Date | was contact and was a second | Subject |
|-----------|--|----------------------------------|
| 3/3/2018 | Meeting with D. Wilmont, Deputy Chief of Staff, Department of Energy, with support staff; C. Ford, Assistant Secretary of State, Department of State, with support staff; A. Hall, Senior Director, National Security Council, with support staff; and M. Doane, General Counsel, Nuclear Regulatory Commission, with support staff. | Section 123 Agreement. |
| 3/29/2018 | Meeting with K. Fuller (Cong. Meeks) and, L. Morrison (Cong. Hastings). | Nüclear power in Saudi Arabia |
| 4/4/2018 | Meeting with K. Long (Cong. Clay). | Nuclear power in Saudi Arabia |

| | Date | Confect | <u> </u> |
|---|----------------|---|--------------|
| • | 7/30/2018 | Phone call with A. Zach of House Energy and | |
| ľ | • | Commerce Committee. | Saudi Arabia |
| l | 17 . 4 . 1 . 4 | <u></u> | |

Exhibit 5

QUESTION 14(a) RECEIPTS-MONIES:

A. Payments received from SECRETARIA DE ECONOMIA during the period February 1, 2018 to July 31, 2018:

\$273,217.00

B. Payments received from EMBASSY OF THE GOVERNMENT OF THE REPUBLIC OF KOREA during the period February 1, 2018 to July 31, 2018:

\$300,000.00

C. Payments received from EMBASSY OF QATAR during the period February 1, 2018 to July 31, 2018:

\$300,000.00

D. Payments received from CAYMAN ISLANDS MINISTRY OF FINANCIAL SERVICES, COMMERCE & ENVIRONMENT during the period February 1, 2018 to July 31, 2018:

\$100,496.00

E. Payments received from MINISTRY OF ENERGY, INDUSTRY, AND MINERAL RESOURCES OF THE KINGDOM OF SAUDI ARABIA during the period February 1, 2018 to July 31, 2018

\$00.00

Exhibit 6

Question 15(a)(1) DISBURSEMENTS-MONIES

A. Itemized summaries of disbursements on behalf of Secretaria de Economia during the period February 1, 2018 to July 31, 2018 are provided below.

February 2018

| Cost Type | Amount | |
|---|----------|--|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$305.00 | |
| Travel and Local Transportation | \$00.00 | |
| TOTAL | \$305.00 | |

March 2018

| Cost Type | Amount | |
|---|----------|--|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$66.87 | |
| Travel and Local Transportation | \$00.00 | |
| Meals | \$48.00 | |
| TOTAL | \$114.87 | |

April 2018

| Cost Type | Amount |
|--|----------|
| Office expenses (includes computer research, | |
| document processing, postal and delivery | |
| services, court costs, translation services, | \$347.28 |
| telecommunications costs and letter of | |
| credit/bond fees) | |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$347.28 |

May 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$275.92 |
| Travel and Local Transportation | \$19.14 |
| TOTAL | \$295.06 |

June 2018

| Cost Type | Amount | , |
|---|----------|---|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of | \$231.72 | |
| credit/bond fees) | · | |
| Travel and Local Transportation | \$00.00 | |
| TOTAL | \$231.72 | |

Júly 2018

| Cost Type | Amount |
|---|-------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$351.23 |
| Travel and Local Transportation | \$1,915.31 |
| TOTAL | _\$2,266.54 |

Travel and Local Transportation – Costs correspond to travel and local transportation expenses for Pillsbury attorneys to attend meetings.

Meals – Costs correspond to working meetings for Pillsbury attorneys and working meetings support.

B. Itemized summaries of disbursements on behalf of Embassy of the Government of the Republic of Korea during the period February 1, 2018 to July 31, 2018 are provided below.

February 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$305.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$305.00 |

March 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$16.53 |
| Travel and Local Transportation | \$00.00 |
| Meals | \$53.35 |
| TOTAL | _\$69.88 |

April 2018

| Cost Type | Amount |
|---|---------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$75.09 |
| Travel and Local Transportation | \$00.00 |
| Meals | \$12.50 |
| TOTAL | \$87.59 |

May 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$229.36 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$229.36 |

June 2018

| Cost Type | Amount | · · |
|---|----------|------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$210.75 | , * |
| Travel and Local Transportation | \$00.00 | ., |
| TOTAL | \$210.75 | |

July 2018

| Cost Type | Amount |
|---|-----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$88.09 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | . \$88.09 |

Travel and Local Transportation – Costs correspond to travel and local transportation expenses for Pillsbury attorneys to attend meetings.

Meals – Costs correspond to working meetings for Pillsbury attorneys and working meetings support.

C. Itemized summaries of disbursements on behalf of the Embassy of Qatar during the period February 1, 2018 to July 31, 2018 are provided below.

February 2018

| Cost Type | Amount |
|---|---------------------------------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of | \$00.00 |
| credit/bond fees) | · · · · · · · · · · · · · · · · · · · |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

March 2018

| Cost Type | Amount |
|---|---------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

April 2018

| Cost Type | Amount |
|---|-----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of | : \$00.00 |
| credit/bond fees) Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

May 2018

| Cost Type | Amount |
|--|---------|
| Office expenses (includes computer research, | |
| document processing, postal and delivery | |
| services, court costs, translation services, | \$00.00 |
| telecommunications costs and letter of | • |
| credit/bond fees) | |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

June 2018

| Cost Type | Amount |
|---|---------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$98.67 |
| TOTAL | \$98.67 |

July 2018

| Cost Type | Amount |
|---|--------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00° |
| Travel and Local Transportation | \$19.18 |
| Consulting fees: Sitrick Group, LLC 1199 San Vicente Blvd. Los Angeles, CA 90049 | \$300,000.00 |
| TOTAL | \$300,019.18 |

Travel and Local Transportation – Costs correspond to travel and local transportation expenses for Pillsbury attorneys to attend meetings.

D. Itemized summaries of disbursements on behalf of the Cayman Islands Ministry of Financial Services, Commerce & Environment during the period February 1, 2018 to July 31, 2018 are provided below.

February 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$305.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$305.00 |

March 2018

| Cost Type | Amount |
|---|---------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$00.00 |
| Meals | \$00.00 |
| TOTAL | \$00.00 |

April 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$ 63.76 |
| Meals | \$133.65 |
| TOTAL | \$197.41 |

May 2018

| Cost Type | Amount |
|---|---------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

June 2018

| Cost Type | Amount |
|---|----------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of | \$00.00 |
| credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | \$00.00 |

July 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$101.49 |
| Meals | \$266.62 |
| TOTAL | \$368.11 |

Travel and Local Transportation – Costs correspond to travel and local transportation expenses for Pillsbury attorneys to attend meetings.

Meals- Costs correspond to working meetings for Pillsbury attorney and working meetings support.

E. Itemized summaries of disbursements on behalf of the Ministry of Energy, Industry, and Mineral Resources of the Kingdom of Saudi Arabia during the period February 1, 2018 to July 31, 2018 are provided below.

February 2018

| Cost Type | Amount |
|---|-------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$12,012.41 |
| TOTAL | \$12,012.41 |

March 2018

| Cost Type | Amount |
|---|-------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$247.08 |
| Travel and Local Transportation | \$67,834.02 |
| Meals | \$ 2,253.92 |
| TOTAL | \$70,335.02 |

April 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$186.25 |
| Travel and Local Transportation | \$ 50.00 |
| TOTAL | \$236.25 |

May 2018

| Cost Type | Amount |
|---|----------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$00.00 |
| Travel and Local Transportation | \$00.00 |
| TOTAL | .\$00.00 |

June 2018

| Cost Type | Amount |
|---|------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$1,148.40 |
| Travel and Local Transportation | \$ 00.00 |
| TOTAL | \$1,148.40 |

July 2018

| Cost Type | Amount |
|---|-------------|
| Office expenses (includes computer research, document processing, postal and delivery services, court costs, translation services, telecommunications costs and letter of credit/bond fees) | \$169.80 |
| Travel and Local Transportation | \$17,377.42 |
| TOTAL | \$17,547.22 |

Travel and Local Transportation – Costs correspond to travel and local transportation expenses for Pillsbury attorneys to attend meetings.

Meals - Costs correspond to working meetings for Pillsbury attorneys and working meetings support.